

# AGENDA ITEM

## 54-141 NOVEMBER

**DATE:** November 14, 2013

**TO:** Members, Planning, Program Development and Budget Committee  
Members, Board of Trustees

**FROM:** Joseph Dunn, Executive Director

**SUBJECT:** 54-141: Financial Statements for the Quarter Ended September 30, 2013; Investment Reports for the Quarter Ended September 30, 2013; Client Security Report for the Quarter Ended September 30, 2013

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The following matters are on the consent agenda for the Board's Thursday, November 15, 2013 meeting:

### 54-141 Financial Statements for the Quarter Ended September 30, 2013

This report will be considered by the Board Planning, Program Development & Budget Committee at its November 2013 meeting. Should the board committee concur with the recommendation, it would be in order for the Board to adopt the following resolution:

**RESOLVED**, that upon the recommendation of the Planning, Program Development and Budget Committee, the Board hereby receives the financial statements in the form this day before the Board, for the quarter ended September 30, 2013, as certified by the Chief Financial Officer, and on file in the San Francisco office of the State Bar.

### 54-141 Investment Reports for the Quarter Ended September 30, 2013

This report will be considered by the Board Planning, Program Development & Budget Committee at its November 2013 meeting. Should the board committee concur with the recommendation, it would be in order for the Board to adopt the following resolution:

**RESOLVED**, that upon the recommendation of the Planning, Program Development and Budget Committee, the Board hereby receives the investment reports in the form this day before the Board, for the quarter ended September 30, 2013, as certified by the Chief Financial Officer, and on file in the San Francisco office of the State Bar.

54-141 Client Security Fund Report for the Quarter Ended September 30, 2013

This report will be considered by the Board Planning, Program Development & Budget Committee at its November 2013 meeting. Should the board committee concur with the recommendation, it would be in order for the Board to adopt the following resolution:

**RESOLVED**, that upon the recommendation of the Planning, Program Development and Budget Committee, the Board hereby receives the Client Security Fund report in the form this day before the Board, for the quarter ended September 30, 2013, as certified by the Chief Financial Officer, and on file in the San Francisco office of the State Bar.

These statements are available upon request. Please contact Peggy Van Horn at [peggy.vanhorn@calbar.ca.gov](mailto:peggy.vanhorn@calbar.ca.gov) for copies.